

# Awareness on Proliferation Financing and Targeted Financial Sanctions

**26 March 2025, Wednesday**  
9.00am - 1.00pm  
Speaker: MUHAMAD NAZRI  
BIN SHAIDON

Virtual  
Course code: CG260325W1  
**Closing date: 25 March 2025**

**4 CPD  
HOURS**

## Course Introduction

Proliferation financing poses significant threats to global security and stability. It facilitates the development and spread of weapons of mass destruction (WMD), which can have devastating consequences. Combating proliferation financing is crucial to maintaining international peace and security.

**Implications for Financial Institutions and DNFBP'S sector:** Banks and DNFBP's sector play a critical role in detecting and preventing proliferation financing. They must implement robust compliance measures to identify and mitigate the risks associated with financial transactions that could support the proliferation of WMD.

Banks and DNFBP's sector must comply with sanctions imposed by domestic and international bodies. They are responsible for implementing sanctions screening, monitoring transactions, and reporting suspicious activities to regulatory authorities. Non-compliance can result in severe penalties and reputational damage.

## Overview of the programme

1. The program is designed to assist participants in building a sound understanding of Anti Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities AMLA 2001.
2. AMLA 2001 requires all reporting institutions to create ongoing employee training programs to detect any potential offence of money laundering.
3. Reporting institutions are required to implement AML/CFT risk management that is commensurate with the level of money laundering and terrorism financing risks.

## Learning outcome

The program is designed to assist participants in building a sound understanding of Anti Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities AMLA 2001.

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Reporting institutions are required to implement AML/CFT risk management that is commensurate with the level of money laundering and terrorism financing risks.

## Training Methodology

Interactive presentation, Video presentation and case studies

## Course outlines

### Module 1: Introduction to Proliferation Financing

#### Definition and Overview

- What is proliferation financing?
- Differences between money laundering and proliferation financing

#### Risks and Implications

- Economic, security, and reputational risks
- Impact on national and international security

#### Regulatory Framework in Malaysia

- Overview of relevant Malaysian laws and regulations (e.g., Strategic Trade Act 2010, Anti-Money Laundering, Anti-terrorism Financing and Proceeds of Unlawful Activities Act 2001 Act 2001)
- Role of Bank Negara Malaysia (BNM) and other regulatory bodies

#### International Standards

- United Nations Security Council Resolutions (UNSCR)
- Financial Action Task

### Module 2: Understanding Dual-Use Goods

#### Definition and Classification

- What are dual-use goods?
- Examples of dual-use goods

#### Risks Associated with Dual-Use Goods

- Potential for misuse in weapons of mass destruction (WMD) programs

#### Challenges in monitoring and control

- Export Control Regimes
- Overview of international export control regimes (e.g., Wassenaar Arrangement, Australia Group, Nuclear Suppliers Group, Missile Technology Control Regime)
- Malaysia's participation and commitments

### Module 3: Regulatory Requirements and Obligations

#### Malaysian Legal Framework

- Detailed review of the Strategic Trade Act 2010
- Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001
- Licensing and permitting requirements for dual-use goods

#### Reporting Obligations

- Requirements for suspicious Transaction Report (STR)
- Key reporting entities and their responsibilities

#### Due Diligence Measures

- Customer due diligence (CDD) and enhanced due diligence (EDD) practices
- Importance of beneficial ownership information

### Module 4: Identifying Proliferation Financing Risks

#### Red Flags and Indicators

- Common red flags and indicators of proliferation financing
- Sector-specific risks and vulnerabilities

#### Customer Due Diligence

- Identifying high-risk customers and transactions
- Enhanced due diligence for high-risk scenarios

### Module 5: Introduction to Targeted Financial Sanctions

#### Definition and Overview

- What are targeted financial sanctions?
- Differences between comprehensive sanctions and targeted financial sanctions

#### Purpose and Importance

- Role of sanctions in national and international security
- Impact on financial crime, terrorism, and proliferation financing

#### Regulatory Framework in Malaysia

- Overview of relevant Malaysian laws and regulations (e.g., Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001)
- Role of Bank Negara Malaysia (BNM) and other regulatory bodies
- International Standards and Obligations
- United Nations Security Council Resolutions (UNSCR)
- Financial Action Task Force (FATF) recommendations

### Module 6: Types of Sanctions and Targeted Entities

#### Types of Sanctions

- Financial sanctions
- Asset freezes
- Travel bans
- Trade restrictions

#### Targeted Entities

- Individuals
- Groups and organizations
- Countries and regions

#### Identifying Sanctioned Entities

- Lists of sanctioned entities (e.g., MOHA UN, EU, OFAC)
- Accessing and interpreting sanction lists

## About the trainer: MR. MUHAMAD NAZRI BIN SHAIDON

Mr. Muhamad Nazri bin Shaidon currently pursuing his PhD (Doctor of Philosophy) specializing in Money Laundering and also the AML/CFT Practitioner and Trainer in Financial Institutions. He was a Financial Investigator with Central Bank of Malaysia and has experience in financial investigation relating to Money Laundering and Financial Crime Activities. He provided litigation support to Prosecution and was invited to impart AML Investigation to Law Enforcement Agencies (LEAs) in Malaysia.

He has also been accredited as a 'Certified Financial Investigator from National Coordination Committee to Counter Money Laundering (NCC) and Bank Negara Malaysia and has involved in operation conducted by a special Task force under National Revenue Recovery Enforcement Team (NRRET). He also has vast experience working with the industry and has experienced working with Global Investigation Unit covering Asia Pacific region.

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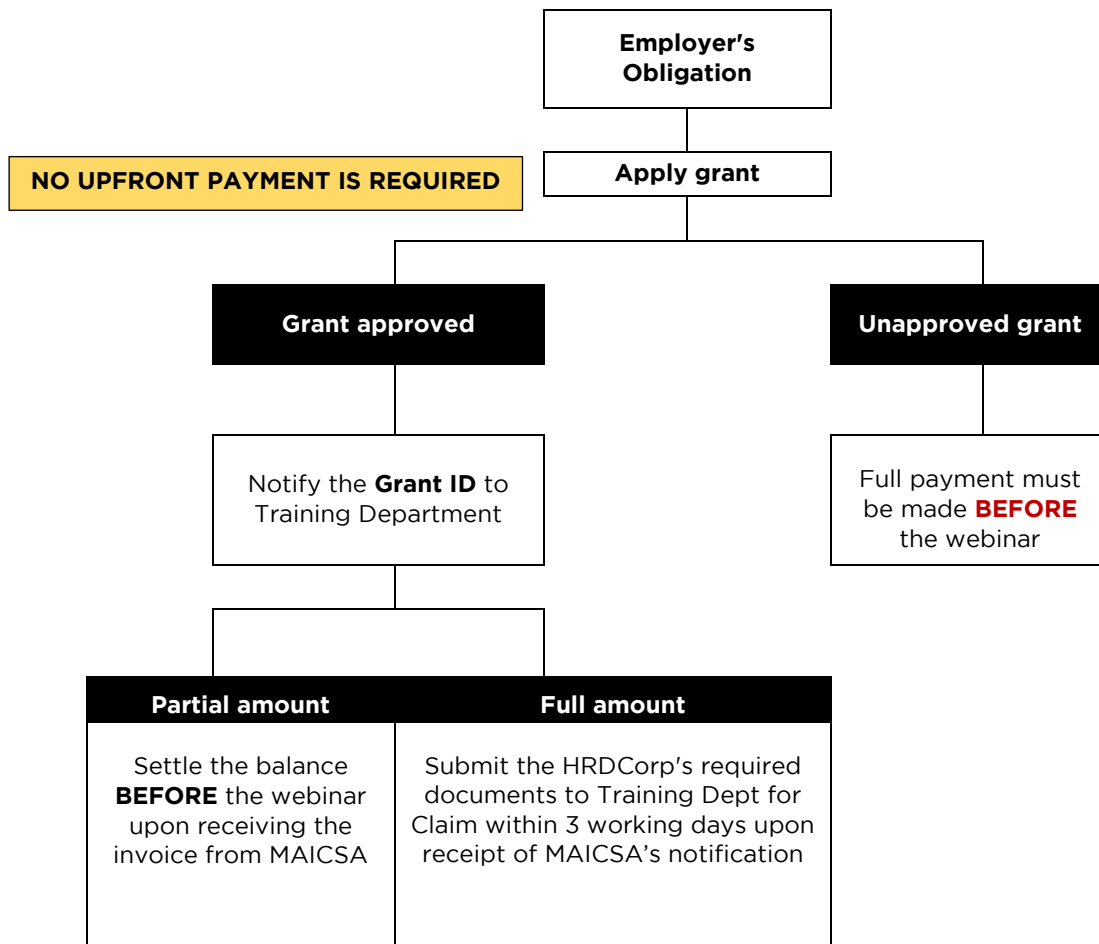
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