

AML/CFT Series – Know Your Customer (KYC) and Politically Exposed Persons (PEP)

27 May 2025, Tuesday

9.00am – 1.00pm

Speaker: MUHAMAD NAZRI
BIN SHAIDON

Virtual

Course code: CG270525W1

Closing date: 25 May 2025



**4 CPD
HOURS**

Learning Outcome

KYC means “Know Your Customer.” It describes the process of verifying the identity of (new) customers. The KYC process is performed to prevent illegal activities such as money laundering or fraud, in return protecting both company and client.

At the end of the course, participants will be able to;

1. Understand their customer’s profiling and to manage the AML risk prudently.

2. Describes the process of verifying the identity of (new) customers as per Anti-Money Laundering, Countering Financing of Terrorism and Targeted Financial Sanctions for Designated Non-Financial Businesses and Professions (DNFBPs) & Non-Bank Financial Institutions (NBFIs) Policy Document.

Know that Reporting Institutions are required to apply Risk Based Approach (RBA) to identify, assess and understand the ML/TF risks to which they are exposed and take AML/CFT measures commensurate to those risks in order to mitigate them effectively and efficiently.

4. Enforcement actions can be taken against the reporting institution including its directors, officers and employees for any non-compliance with any provision marked as “S”(Standard) in the policy document

5. Understand the definition of Politically Exposed Person (PEP) and how to manage the PEP customer segmentaton.

Course Outlines

1. Know Your Customer (KYC):
 - How to Identify and Verify Customer?
 - Customer Due Diligence/ Enhanced Due Diligence and Ongoing Due Diligence (ODD)
2. Name and Sanction Screening :
 - Who to Screen
 - What to Screen
 - How to Screen
 - When to screen
3. Risk Based Approach
RBA is the process of identifying, assessing and understanding your firm’s exposure to the money laundering/ terrorism financing (ML/TF) risks and taking reasonable and appropriate anti-money laundering and counter financing of terrorism (AML/CFT) measures effectively and efficiently to mitigate and manage the risks.
4. Politically Exposed Person (PEP)
 - Who is Politically Exposed Person (PEP) and Relative and Close Associate (RCA)
 - How to deal with PEP and RCA

Methodology

- Interactive lecture
- Video presentation
- Case studies

About the trainer: MR. MUHAMAD NAZRI BIN SHAIDON

Mr. Muhamad Nazri bin Shaidon currently pursuing his PhD (Doctor of Philosophy) specializing in Money Laundering and also the AML/CFT Practitioner and Trainer in Financial Institutions. He was a Financial Investigator with Central Bank of Malaysia and has experience in financial investigation relating to Money Laundering and Financial Crime Activities. He provided litigation support to Prosecution and was invited to impart AML Investigation to Law Enforcement Agencies(LEAs) in Malaysia.

He has also been accredited as a 'Certified Financial Investigator from National Coordination Committee to Counter Money Laundering (NCC) and Bank Negara Malaysia and has involved in operation conducted by a special Task force under National Revenue Recovery Enforcement Team (NRRET). He also has vast experience working with the industry and has experienced working with Global Investigation Unit covering Asia Pacific region.

WEBINAR FEE

Category	Early Bird Fee per person (RM) (applicable for participant who register and pay before 13/5/2025)	Normal Fee per person (RM)	Group Fee per person (RM)* (For 3 or more registrations from the same organization)
MAICSA Member/ Affiliate/Graduate/Student	290	340	310
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MAICSA Member's staff***	400	400	400

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Tel: **03-2282 9276 (ext 804)**

Attention: Ms Shafika

- Fee is payable to **MAICSA**

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- **NO Letter of undertaking is accepted.**

Upon successfully registration, you are deemed to have read and accepted the terms and conditions.

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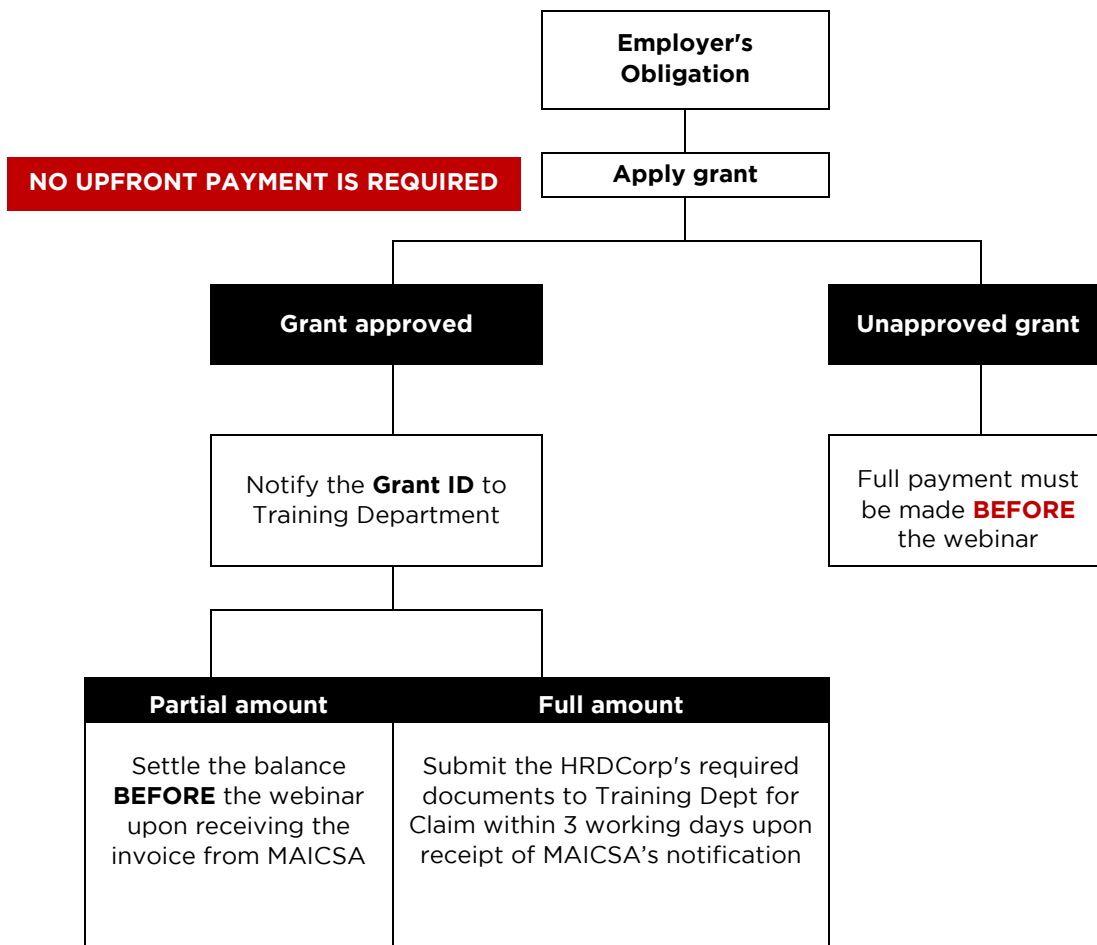
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- The Access Link is unique and should not be forwarded/shared with others.
- **Participants may log-in at 8.45am**

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